

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: 9/3/04 Name: Gustavo Siller, Jr. Signature: [Signature]

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Naoya Hasegawa et al.

Appln. No.: 09/774,781

Filed: January 30, 2001

For: SPIN-VALVE THIN-FILM MAGNETIC
ELEMENT AND METHOD FOR MAKING
THE SAME

Attorney Docket No: 9281-3917

Examiner: George J.
Letscher

Art Unit: 2653

[Choose Mail Stop or blank line]
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Second Supplemental Information Disclosure Statement; PTO-1449; Copy of Japanese Office Action; Copy of cited references
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

9/3/04
Gustavo Siller, Jr. (Reg. No. 32,305)



2653
Jfw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Naoya Hasegawa et al.
Appln. No.: 09/774,781
Filed: January 30, 2001
For: SPIN-VALVE THIN-FILM
MAGNETIC ELEMENT AND
METHOD FOR MAKING
THE SAME
Attorney Docket No: 9281-3917

Examiner: George J. Letscher
Art Unit: 2653

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

No.	Date of Publication	Country
6,301,088	10/9/2001	USA
6,387,548	5/14/2002	USA
11-296823	10/1999	Japan
10-284769	10/1998	Japan
11-296820	10/1999	Japan
2000-031562	1/2000	Japan

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other

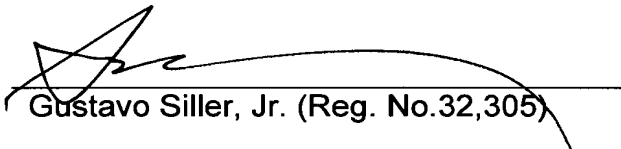
information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

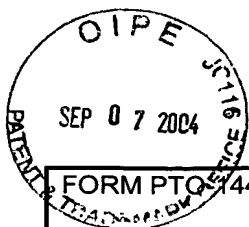
Applicants hereby certify that no item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Accordingly, Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

9/3/04


Gustavo Siller, Jr. (Reg. No.32,305)



FORM PTO-449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/774,781	CASE NO. 9281-3917
	FILING DATE 1/30/2001	GROUP ART UNIT 2652
	APPLICANTS: Naoya Hasegawa et al.	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	6,301,088	10/9/2001	Masafumi Nakada		
	C2	6,387,548	05/14/2002	Naoya Hasegawa et al.		

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	C3	11-296823	10/1999	Japan		X	
	C4	10-284769	10/1998	Japan		X	
	C5	11-296820	10/1998	Japan		X	
	C6	2000-31562	01/2000	Japan		X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.